## NLG as Cognitive Modelling

The case of Referring Expressions Generation

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## Main message of this lecture

- NLG can be a tool for achieving a better understanding of
  - Language
  - Human language production
- Example: Referring Expressions Generation (REG)
  - Probably the most widely studied area of NLG
  - (RefNet 2013: an entire Summer School devoted to the generation/production of Referring Expressions)

## Plan of the lecture

- 1. Reviewing the goals of NLG
- 2. Goals of Computational Cognitive Modelling
- 3. Recap of REG
- 4. REG as Cognitive Modelling: examples
- 5. REG as Cognitive Modelling: classification
- 6. Implications for NLG as a whole

# 1. Goals of NLG (your turn)

# Goals of NLG (my attempt)

- a. Automatically producing <u>useful</u> text from non-textual input. (Cf. various lectures from Arria people)
  - -- <u>Useful</u>: defined in terms of utility for users
  - Speeding up understanding/decisions based on "data" (compare Readability course at this summer school)
  - -- Improving the quality of enjoyment/understanding/decisions

# Implications of this view

- The most <u>useful</u> output may be unlike any human utterance
  - Controlled Natural Language?(e.g., no anaphora)
  - Graphics, multimedia, etc.
- In the end, this enterprise may no longer have much to do with <u>natural</u> language
  - A (highly useful) artificial language?
  - Special lexicons, grammars, etc.

# Goals of NLG (my attempt)

**b**. Automatically producing <u>human-like</u> text from non-textual input. (Simulation!)

- -- "Human-like": similar to corpus
- -- Possibly the most frequently employed evaluation method in NLG
- -- But why? Is generating human-like utterances a goal in its own right?

# Goals of NLG (my turn)

Maybe the two aims (a and b above) co-incide?

Maybe human-like utterances are easy to process by hearers/readers

Evidence that this may sometimes be the case: Campana et al. (2011) *Natural Language*Engineering 17 (3), p. 311-329

# Goals of NLG (my turn)

Maybe the two aims co-incide

They do not always co-incide!

# "Egocentricity" results in psycholinguistics

W.S.Horton & B.Keysar (1996) When do speakers take into account common ground? *Cognition* **59** p.91-117.

L.W.Lane et al. (2006) Don't talk about pink elephants!: Speakers' control over leaking private information during language production. *Psychological Science* **17**, p.273–277.

# Egocentricity Horton & Keysar 1996

Speakers (S) often fail to take the Hearer's (H) knowledge into account

Set-up of their experiment:

## What S and H see

S and H observe different halves of a screen

S and H see a target object (which moves)

S also sees a context object c

**Conditions:** 

Shared: H also sees c

Privileged: H does not see c.

S knows which condition S and H are in

## What S might say

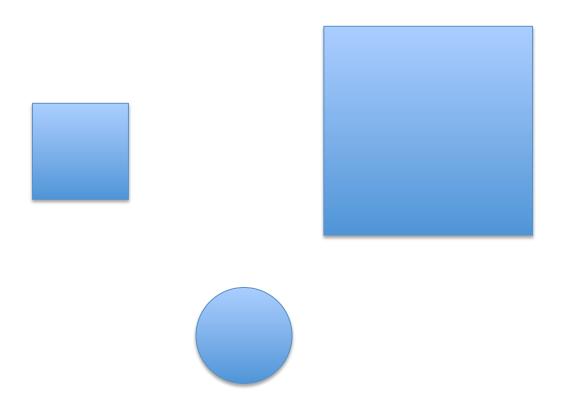
S describes the target object to H, e.g. "the small square"

Note: Degree adjectives (like "small") only have meaning to H if H can see a comparison object.

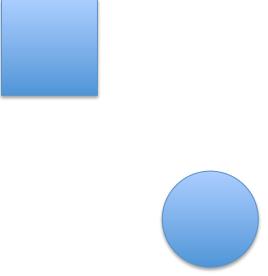
Only in the shared condition!

The essence of the situation (simplified):

# Shared ("the small square")



# Privileged ("the small square"??)



## Number of degree adjectives used by S

as a fraction of the number of words in the NP

- Unspeeded: 29% (shared), 9% (privileged)
   The difference was significant
- **Speeded**: 19% (shared), 18% (privileged)
  The difference was not significant
  Essentially: <u>speeded speakers did not distinguish</u>
  between shared and privileged info!

# Summing up this part of the talk

NLG can be performed with two different goals in mind

- a. Delivering benefits for hearers
- b. Simulating speakers

## 2. Computational Cognitive Modelling

An entirely different research area See e.g. R. Sun (Ed.) 2008 *The Cambridge Handbook of Computational Psychology*.

(With contributions from J.McClelland, Ph.Johnson-Laird, W.Gray, M.Boden, A.Sloman, etc.)

## Models of Cognition

Aim to describe/explain an aspect of human cognition

#### Can be

- Verbal-conceptual [still most frequent?]
- Mathematical
- Computational

## Computational Models of Cognition

### Examples from Sun (2008): Models of

- Human memory
- Visual information processing
- Logical reasoning
- Inductive reasoning
- Decision making
- Game playing
- Human (and animal!) learning
- Speaking

# Differences between models Example: logical reasoning

- Aim (logically valid reasoning, or with human flaws? E.g. Johnson-Laird; Kahneman & Twersky)
- Granularity (Propositional? First-order? Modal?)
- Physiological basis? (Some models of human reasoning are inspired by neuro-science, e.g. neural nets)
- Product or process? (Only <u>what</u> conclusions are drawn, or also <u>how quickly</u>?)
- Individual or groups? (How do group processes affect validity & speed of reasoning?)

- 3. A brief recap of Referring Expressions Generation (cf., Albert Gatt's lectures)
- 1. Something about algorithms
- 2. Something about evaluation (TUNA)

#### **Abbreviations:**

**RE** = Referring Expression

**REG** = Referring Expressions Generation

## The "classic" algorithms

Shared KB is a set of properties, e.g., Desk, Red,...
An RE expresses a conjunction of properties
"Monotonic" Algorithms add properties one by one

- Greedy Algorithm: starting with the most discriminating one
- Incremental Algorithm: following a fixed Preference
   Order of properties (Dale & Reiter 1995)

## Monotonic approaches to REG

Let's use informal pseudo-code, where

M: domain of elements

**D**: description under construction

**P**: set of available properties

#### Monotonic REG

 $\mathbf{D} := \emptyset$ 

While not all distractors have been ruled out and  $P \neq \emptyset$  do

Select new P from P

If P is false of some distractors then

Add P to **D** 

Remove P from P

Remove from M all distractors ruled out by P

## The monotonic approach to REG

Using\_different methods

$$\mathbf{D} := \emptyset$$

While not all distractors have been ruled out and  $\mathbf{P} \neq \emptyset$  do

Select new P from P

If P is false of some distractors then

Add P to **D** 

Remove P from P

Remove from M all distractors ruled out by P

"Update D, P and M"

## Evaluation of these algorithms

### E.g., TUNA (Brighton-Aberdeen, 2006):

- Experiment: REs elicited under controlled circumstances
- These human-produced REs are compared with REs generated by algorithms:
  - Give each algorithm the same input as subjects
  - Compare algorithm's output to subjects' output
  - Count semantic content only

## TUNA: a Furniture trial

#### This is scenario 1 of 38



Which objects are in a red box?

submit

# TUNA: a People trial



## Main evaluation metric

#### The Dice metric:

Corpus: {A,B,C,D}

Algorithm:  $\{B,C,D,E\} \rightarrow Dice = (2*3)/8 = \frac{3}{4}$ 

Dice score of 0 is awful, 1 is perfect

 $Alg_1$  beats  $Alg_2$  iff  $Dice(Alg_1) > Dice(Alg_2)$ 

### Details of the TUNA experiment:

Van Deemter, Gatt, van der Sluis, and Power (2012) "Generation of referring expressions: assessing the incremental algorithm." *Cognitive Science* **36** (6)

### REG evaluation challenges (open competitions):

Belz & Gatt (2010) Introducing shared talk evaluation to NLG. In Krahmer & Theune (Eds), *Empirical Methods in NLG* 

## REG algorithms in general:

Krahmer & van Deemter (2012) Computational Generation of Referring Expressions: a Survey. Comp. Ling. **38** (1).

## 4. REG as Cognitive Modelling

- Observe: TUNA/Dice treated algorithms as simulations, not in terms of their utility
- TUNA does not count the production process, only the product

Note: whether an algorithm is a Cognitive Model depends on what its aim is / how it is evaluated

## Caveat

This is not the only kind of REG evaluation

 E.g., the Direction-Giving (GIVE) challenge looked at task success (time to find referent)

Koller et al. (2010) The first challenge on generating instructions in virtual environments. In Krahmer and Theune (Eds), Empirical Methods in Natural Language Generation

# Now: a study in which the **process** is evaluated

Models of <u>visual processing</u> (Treisman & Gelade 1980) make predictions about visual search:

- Target can be distinguished from all distractors by using 1 property → search times do not grow with numbers of distractors [Pop-out effect]
- Target can only be distinguished from all distractors by using 2 properties → search times grow linearly with numbers of distractors [No pop-out effect]

# two situations where the referent "pops out"

1. "The red bell"



KvD, NLG Summer School, Aberdeen, 2015

# two situations where the referent "pops out"

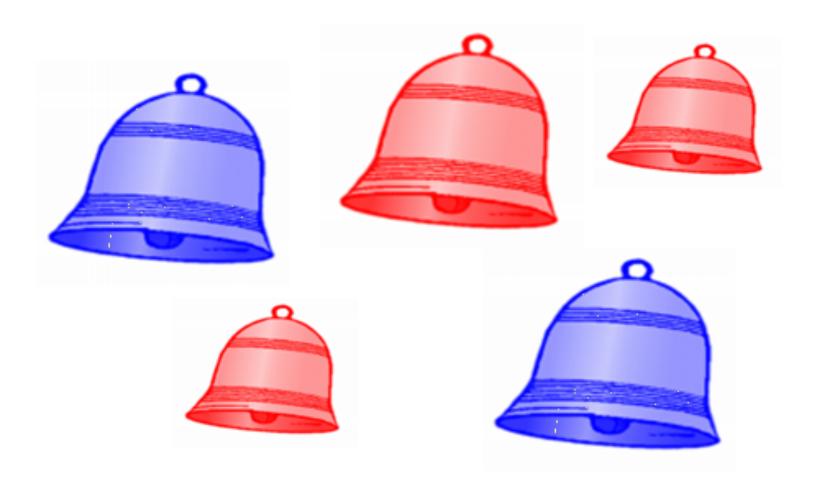
2. "The large bell"



### No pop-out effect

"The large red bell"

Search time increases linearly with the number of distractors



### Research question of this study

Is it the same for generation?

- You might expect YES (because the speaker needs to compare the referent along 2 dimensions)
- On the other hand, the SPEAKER doesn't have to SEARCH for the referent

Suppose REG said "List all properties of the referent"
This would be independent of the number of distractors!

# What do REG algorithms predict?

Recall the shape of most algorithms

### Recall: The monotonic approach to REG

 $D := \emptyset$ 

While not all distractors have been ruled out

and  $P \neq \emptyset$  do

Select new P from P

If P is false of some distractors then

Add P to **D** 

Remove P from P

Remove from M all distractors ruled out by P

# Predictions of the monotonic algorithmic pattern

- These algorithms were not intended as process models
- Yet they can be viewed in this way

#### Some predictions:

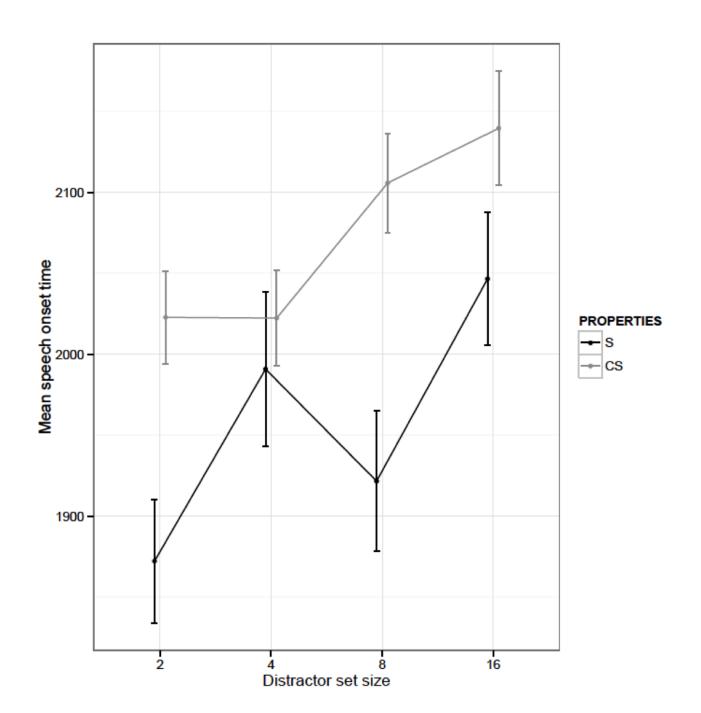
- 1. Production latency increases with the number of distractors
- 2. Production latency increases with the number of properties ending up in **D**

# 2 experiments (only 1 experiment reported here)

Domains were varied in terms of

- the number of distractors (2,4,8,16)
- the number of properties required (1,2)
- Standardised pictures (Snodgrass & Vanderwart 1980)
- Domain elements were always of the same type
- 64 experimental items, 108 fillers
- 40 Speakers of Dutch
- Items occurred in the same order for all participants
- Participants were asked to describe items for an imaginary hearer

### Results



# Predictions of the monotonic algorithm pattern

- Production latency increases with the number of distractors
- 2. Production latency increases with the number of properties ending up in **D v**

If this is correct (and if Treisman & Gelade were also right) then production and comprehension are interestingly different

# Predictions of the monotonic algorithmic pattern

If this is correct (and Treisman & Gelade were also right) then production and comprehension are interestingly different

(A nuance: Experiment 2 showed that when the referent had a different <u>colour</u> from all distractors, and this colour stood out sharply, then no effect of distractor set size was found.)

### Summing up

This study tested the ability of a REG algorithm to describe the production **process** 

Gatt, van Gompel, Krahmer, and van Deemter (2012). Does domain size impact speech onset time during reference production? In Proc. of the 34st Annual Conference of the Cognitive Science Society (CogSci), pages 1584–1589, Sapporo.

### 5. REG as Cognitive Modelling: classification

# Differences between models Example: REG

- Aim: (most often) to <u>simulate</u> speakers; sometimes to benefit hearers
- **Granularity**: most often a <u>set of properties</u>; sometimes choice of words and syntax too
- **Physiological basis**: not taken into account yet, despite progress in neuro-science (Nieuwland & Van Berkum 2008, the Nref effect)
- **Product or process**? Usually the <u>product</u> (e.g., TUNA); sometimes the process (e.g. Gatt et al. 2014)
- Individual or groups? Usually results averaged over a group; sometimes probability distribution over a group

### End of this section

- MANY more experiments have been done, testing various aspects of REG algorithms
  - Similarity to the expressions in a corpus
  - Utility for a hearer (e.g., how long does the hearer take to find the referent. Garouffi & Koller 2014;
     Paraboni 2014; both in *Language, Cognition, and Neuroscience* 22 (8).

# 6. Implications for NLG as a whole

- If an NLG project aims for <u>utility</u> for recipients then
  - test with recipients
  - why focus on natural language?
- If an NLG project <u>simulates</u> speakers then
  - test by comparing with corpora
  - simulate "bad performance" (e.g. speech errors) as well?

# Implications for NLG as a whole

- REG can be regarded as a type of Computational Cognitive Modelling
- More generally, <u>NLG</u> can be regarded as an attempt to understand language better
- Focus can be on
  - Content Determination
  - Microplanning (Lexicalisation, REG,...)
  - Surface Realisation

# (Wrapping up this lecture) Another way to put this

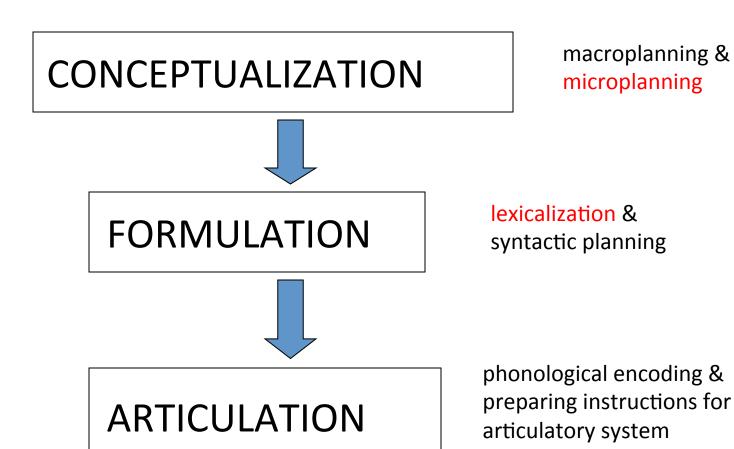
 From 1980, psycholinguists have developed models of human language production

 Perhaps the most famous model is from Levelt (1989) "Speaking: From Intention To Articulation" (chapter 12)

More recent models include Dell et al. (1997), Vigliocco and Hartsuiker (2002)

### Psycholinguistics

the language production pipeline (Levelt 1989)



### Levelt's model in more detail

The NLG pipeline resembled this one, but looks at entire texts (> 1 sentence)

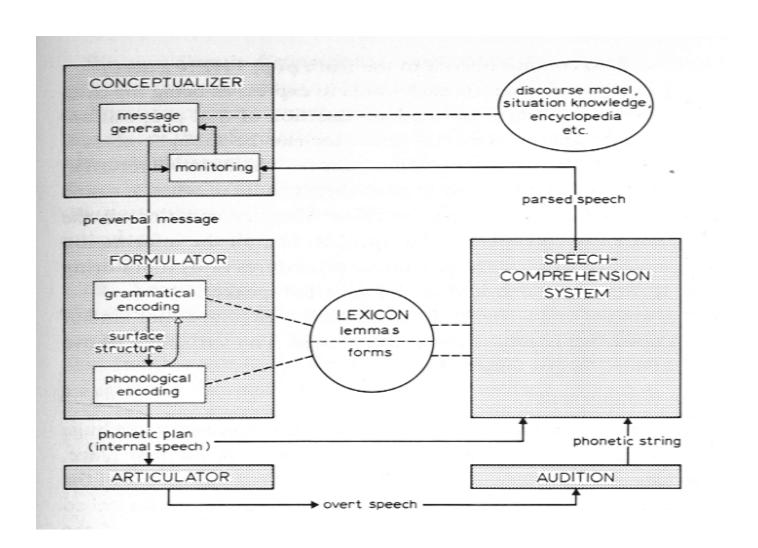
Levelt's full model is more complex because of monitoring: Speakers monitor their own

- 1. preverbal message (sentence plan)
- 2. phonetic plan
- 3. speech

(Evidence from speech errors & self-correction)

### Language production:

Levelt 1989: Model overview



### Summing up

Algorithms and Cognitive Models have always cross-fertilised

- Algorithms and Cognitive Models have always cross-fertilised
- ... and long may it last!

# Postscript: Nondeterministic Models

(Roger van Gompel) Psychologist:

"Why are all your algorithms deterministic?"

#### **Experimental Materials**



Fig. 1a. Size-only fully discriminating condition

IA predicts: "the small grey candle"



IA predicts: "the grey candle"

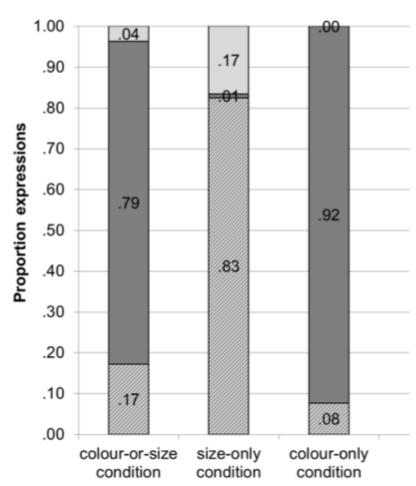
Fig. 1b. Colour-only fully discriminating condition



Fig. 1c. Colour-or-size fully discriminating condition

IA predicts: "the grey candle"

### Human speakers

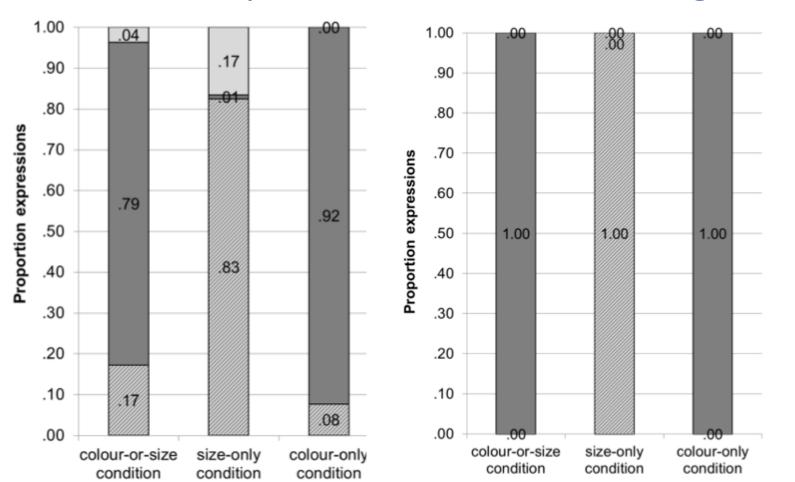


□ Size description

■ Colour description

□ Colour+size description

#### Human speakers vs Incremental Algorithm



□ Size description

■ Colour description

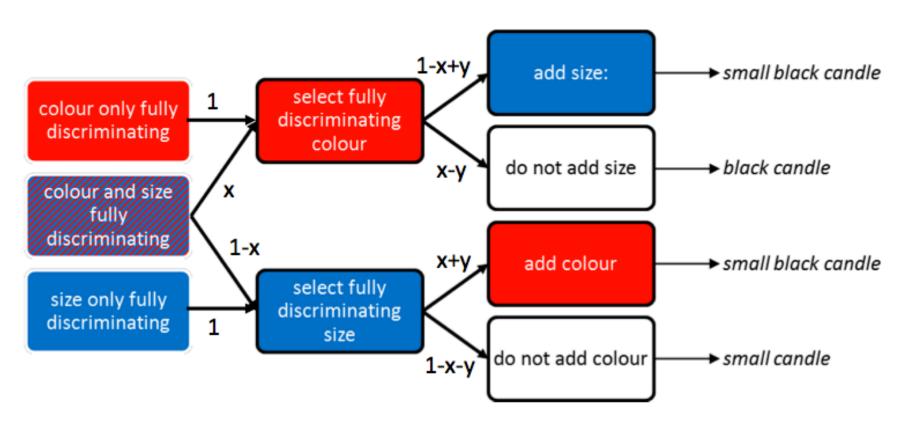
□ Colour+size description

# New algorithm: Probabilistic Referential Overspecification (PRO)

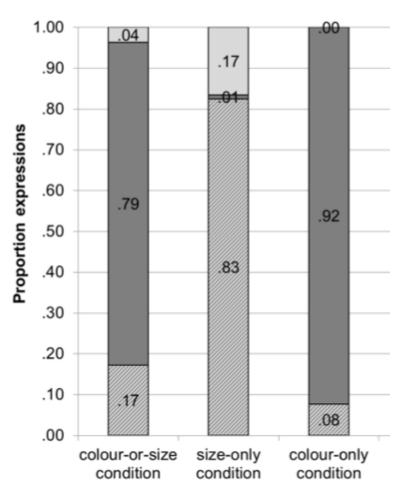
- Select properties with probability x

   Higher x for more preferred properties
- Further properties may be added, based on parameter y for eagerness to over-specify Higher y for greater eagerness
- Parameters x and y estimated on held-out data

# PRO in the situations investigated in the experiment



### Human speakers vs PRO

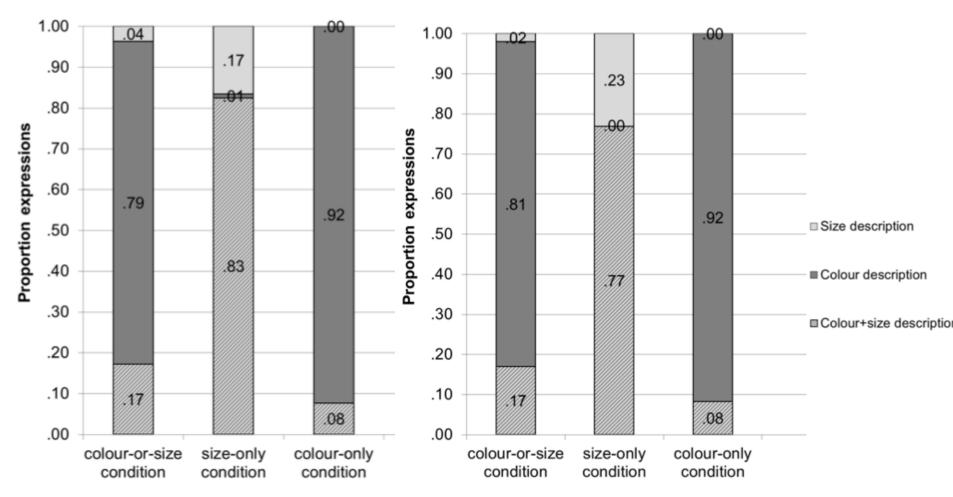


□ Size description

■ Colour description

□ Colour+size description

### Human speakers vs PRO



# Factors influencing x and y

#### Earlier research suggests:

- y is influenced by whether the domain is fault critical (Arts et al. 2012)
- y is influenced by the amount of clutter in the scene (Koolen et al. 2013)